



Ministry of Gender Labor and Social Development

HOUSEHOLD VULNERABILITY ASSESSMENT TOOL (HVAT)

The Household Vulnerability Assessment Tool (HVAT) is for assessment of households selected through the vulnerability prioritization process. The tool helps to target and obtain additional in-depth information about a household's level of vulnerability, which is used for monitoring progression of vulnerability. The tool should be subjected to only households (HHs) identified and prioritized using the Household Vulnerability Prioritization Tool (HVPT) and, it should be administered to only HHs who will be supported. The tool should be applied at enrolment, at the end of 12 months, at the end of a support programme and/ or as it may be required. It is recommended that the interviewer finds additional information and/or validates critical information from other sources like school, health facility, OVC service providers, community leaders, village health team and parasocial workers among others.

SECTION I: BACKGROUND INFORMATION

INSTRUCTION: Please provide background information for the HH. Indicate all the required information on the members of the HH and the required contact details and the HH number as indicated on the Household Vulnerability Prioritization Tool (HVPT). For each of the vulnerability categories, enter Yes (Y), No (N) or Not Applicable (NA). For sex, indicate whether Male (M) or Female (F). For immunization and birth registration, check for immunization and birth registration card. While for date of birth, indicate the date, month and year. For HIV status, indicate unique codes in case the use of positive (+), negative (-) or do not know (DK) can compromise confidentiality.

SECTION II: HOUSEHOLD (HH) INFORMATION

INSTRUCTION: Please administer this section to the head of a HH (spouse or child in case of child-headed HHs). Ask each question and circle the appropriate response option. After circling the response, please write in the corresponding score to the far right-hand column (labeled "SCORE"). At the end of each core programme area (CPA), add the scores for all questions and write them down under the "CPA TOTAL" row. Finally, add up all CPA scores and enter them under "HOUSEHOLD TOTAL SCORE" and compute the average SCORE per CPA as indicated on the table for computation of CPA SCORE. Pay attention to scores per CPA a basis for support.

SECTION I: BACKGROUND INFORMATION

District:		Sub County/ Division/ Town Council		Parish/ Ward:		Village/ Zone:	
Date of Interview:		Name and Contact of HH Head:		Name/ Contact of Service Provider:		Name/Contact of Sub County CDO	
HH Number:	Phase of Administration 1. 1 st 2. 2 nd 3. 3 rd 4. 4 th 5. Other specify...	Sex of HH Head 1. Male 2. Female	Marital Status of HH Head 1. Single 2. Married/Cohabiting 3. Widowed 4. Separated/Divorced 5. NA (If child)	Education Level of HH Head 1. None 2. Primary 3. Secondary 4. Tertiary	Number of people in the HH		
					Age group	Male	Female
Age of HH Head:				Under 1 year			
				1-4 years			
				5-9 years			
				10-14 years			
				15-17 years			
			18-24 years				
			25+ years				

Household Summary

Name of child	Sex (M/F)	Age	Date of birth (Date/ Month/ Year)	Out of school (Yes/No/NA)	Orphan (Yes/No)	Disabled (Yes/No)	Chronically ill (Yes/No)	Immunized (Yes/No/NA)	HIV status (+/- /Do not know)	In HIV care/ on ART (Yes/No)	Birth registration (Yes/No)
1)											
2)											
3)											
4)											
5)											
6)											

SECTION II: HOUSEHOLD ASSESSMENT

HOUSEHOLD (HH) ASSESSMENT											SCORE
CPA 1: ECONOMIC STRENGTHENING											
1.1 Who pays for MOST of the HH expenses?											
Option	Child (6 - 17 years)			Grand or Elderly Parent			Relative	Mother	Father		
Score	4			3			2	1	0		
1.2 What is the main source of HH income?											
Option	None	Remittances (Pension, Gratuity, Donations)	Causal Labourer	Informal Job/ Employment	Peasantry Farming	Petty Business	Formal Business	Commercial Farming	Formal Job/ Employment		
Score	4	3	2	2	2	1	0	0	0		
1.3 What is the current monthly HH income? (express in Uganda Shillings)											
Option	Less than 50,000		50,000 - 100,000		100,000 - 150,000		150,000 - 200,000		Above 200,000		
Score	4		3		2		1		0		
1.4 Do these statements apply to this HH? (Yes/No)											
	1) Any member of the HH owns an electronic gadget (radio, phone, TV)										
	2) Any member of the HH has a functional transport means (bicycle, motor cycle, boat)										
	3) At least one member of the HH has vocational/apprenticeship/professional skills										
	4) At least one member of the HH has formal employment, is self-employed or has a business										
	5) At least one member of the HH belongs to any financial savings and lending group										
	6) Household has domestic animals (cow(s), goat(s), Sheep, chicken, pig(s))										
	7) HH has access to land for agriculture/hire										
Option	If 4 or more are NO		If Three are NO		If Two are NO		If One is NO		If more than 4 are Yes or NA		
Score	4		3		2		1		0		
1.5 If the HH incurred any of the following expenses in the past three months, was it able to pay without difficulty? E.g. without selling HH permanent assets like land, bicycle or without borrowing, etc.											
	1) Health related expenses (Yes/No/NA)										
	2) Education (school) related expenses (Yes/No/NA)										

HOUSEHOLD (HH) ASSESSMENT						SCORE
3) Food related expenses (Yes/No/NA)						
Option	If All are NO		If Two are NO	If One is NO	If All are Yes/NA	
Score	4		2	1	0	
CPA 1 TOTAL →						
CPA 2: FOOD SECURITY AND NUTRITION						
2.1	Over the past month (mention month), what has been the MAIN source of food consumed by your HH?					
Option	Donated	Given in return for work only	Bought from the market	Home grown supplemented with given in return for work	Home grown	
Score	4	3	2	1	0	
2.2	What does the family usually eat? (at least 3 times a week), Yes/No					
1) Energy foods; potatoes, banana, oils, posho, millet, rice, maize, bread, cassava						
2) Body building foods; beans, meat, soya, peas, milk, eggs, chicken, fish						
3) Protective and regulative foods; tomatoes, oranges, pawpaw, mangoes, pineapples						
Option	None		One food group	Two food groups	All food groups	
Score	4		3	1	0	
2.3	How many meals does the HH have in a day?					
Option	Some days no meal		One meal	Two meals per day	Three or more meals	
Score	4		3	1	0	
CPA 2 TOTAL →						
CPA 3: HEALTH, WATER, SANITATION AND SHELTER						
3.1	Do the following apply to this HH? Indicate Yes/No/NA [Observe for yourself where applicable]					
1) Has access to safe water within 30 minutes (half an hour) or harvests rain water for domestic use						
2) Has a clean compound						
3) Has access to a public health facility within 5 kilometres						
4) Has a drying rack for HH utensils						
5) Has a garbage pit /dust bin						
6) Separate house for animals						
7) Hand washing facility						
8) All HH members sleep under a mosquito net						
Option	If 4 or more NOs		If Three are NO	If Two are NO	If One is NO	If four or more are YES
Score	4		3	2	1	0
3.2	Does the caregiver know the HIV status for all children in the HH?					
Option	No	Less than 50% (less than half) of children		50% or more (more than half) of children		Yes
Score	4	3		2		0
3.3	Are all eligible children who are HIV+ and/ or have TB on treatment?					
Option	None of the children on care or treatment		Less than 50% (less than half) of children on care or treatment	50% or more (more than half) of children on care or treatment	All on care or treatment/NA	
Score	4		3	2	0	
3.4	Does the HH have a stable shelter that is adequate, safe and dry? [Observe for yourself]					
Option	No stable shelter , adequate or safe place to live		Shelter is not adequate, needs major repairs	Shelter needs some repairs but is fairly adequate, safe, and dry	Shelter is safe, adequate & dry	
Score	4		3	1	0	
3.5	What is the type of a latrine/toilet facility used by members of your HH? [Observe for yourself]					
Option	Bush/ None	Public toilet for pay	Private needs some repair/ risky state	Private but shared by more than one HH	Safe, adequate & dry	
Score	4	3	2	1	0	
CPA 3 TOTAL →						
CPA 4: EDUCATION						
4.1	How many children aged 5–17 years in this HH are not going to school or miss school 3 or more times a week [Score 0 for 1-4 years]					

HOUSEHOLD (HH) ASSESSMENT					SCORE
Option	More than three children or none attends	Less than 50% (less than half) enrolled or attend school regularly	50% or more (more than half) enrolled and attends school regularly	All enrolled and attends school regularly	
Score	4	3	2	0	

CPA 4 TOTAL →

CPA 5: PSYCHOSOCIAL SUPPORT AND BASIC CARE

5.1 In the past 12 months (STATE MONTH), how often has someone in your HH felt so troubled that it was necessary to consult a spiritual, faith or traditional healer, counsellor or health worker?

Option	More than five times	3-4 times	Two times	Once	Never	
Score	4	3	2	1	0	

5.2 Are there any children in this HH who are withdrawn or consistently sad, unhappy or depressed, not able to participate in daily activities including playing with friends and family? Yes/ No

Option	All children	50% (more than half) or more	Less than 50% (less than half)	None	
Score	4	3	2	0	

CPA 5 TOTAL →

CPA 6: CHILD PROTECTION AND LEGAL SUPPORT

6.1. What would you do if any of your children experienced or became a victim of child abuse or violence?

Option	Nothing/negotiate with offender/revange	Talk to neighbour / family only	Report to: LC/Police/Probation/child helpline/Court/Child Protection Committee/CDO/Human rights office/CSO/Parasocial Worker/VHT	
Score	4	1	0	

6.2 In the past 12 months (STATE MONTH), has any child in the HH had the following happen to them, in or outside of the HH? [Note: If you see an obvious issue of abuse or you already know about it, then indicate yes]. Indicate Yes/ No

- 1) Repeated physical abuse (Yes/No)
- 2) Withheld a meal to punish (Yes/No)
- 3) Involved in Child Labour (Yes/No)
- 4) Family separation (ran away, chased)/Neglected (Yes/No)
- 5) Sexually abused, defiled, raped, forced sex (Yes/No)
- 6) Stigmatised/ discriminated due to illness, disability or otherwise (Yes/No)
- 7) Using abusive words/language (Yes/No)
- 8) Has no birth certificate (Yes/No)
- 9) In contact/conflict with the law (Yes/No)

Option	If four or more are YES	If THREE are YES	If TWO are YES	If ONE is YES	If All are NO	
Score	4	3	2	1	0	

CPA 6 TOTAL →

SUMMARY SCORE PER CPA

Core Programme Area	Maximum Possible Score (A)	HH Performance Per CPA			Priority areas (list all indicators that scored 3 or 4) e.g. 1.2, 1.3 etc
		CPA score (B)	Percent CPA score C = B/A X 100	CPA Rank	
1.Economic strengthening	20				
2.Food and nutrition security	12				
3.Health, water, sanitation and shelter	20				
4.Education	4				
5.Psychosocial support / basic care	8				
6.Child protection and legal support	8				
HH TOTAL	72				
Average Percentage = HH total CPA per cent (Total for C) divided by 6 CPAs					

Can graduate: 0-24%, Slightly Vulnerable: 25-49%, Moderately Vulnerable: 50-74% and Critically Vulnerable: 75-100%

ASSESSOR'S COMMENT:
